

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: YU, Wen

Appln. No.:

Filed: Herewith

For: HEADER FOR HEAT
EXCHANGER

Attorney Docket No: 10541-1850

Examiner:

Art Unit:

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant cites the following references (each listed for the Examiner's convenience on the enclosed Form PTO-1449):

| No. | Date of Publication | Patentee/Applicant/Assignee |
|--------------|---------------------|-----------------------------|
| 3,689,972 | 09/12/1972 | Mosier et al |
| 4,615,385 | 10/07/1986 | Saperstein et al |
| 5,067,235 | 11/26/1991 | Kato et al |
| 5,152,339 | 10/06/1992 | Calleson |
| 5,743,122 | 04/28/1998 | Rhodes et al |
| 5,890,288 | 04/06/1999 | Rhodes et al |
| 5,901,443 | 05/11/1999 | Rhodes et al |
| 5,931,223 | 08/03/1999 | Yu et al |
| 5,934,365 | 08/10/1999 | Rhodes et al |
| 6,119,769 | 09/19/2000 | Yu et al |
| 6,360,560 B1 | 03/26/2002 | Whitlow et al |
| 6,540,016 B1 | 04/01/2003 | Baldantoni |

Applicant respectfully solicits the Examiner's consideration of the listed references and entry thereof into the record of this application.

**BRINKS
HOFER
GILSON
& LIONE**

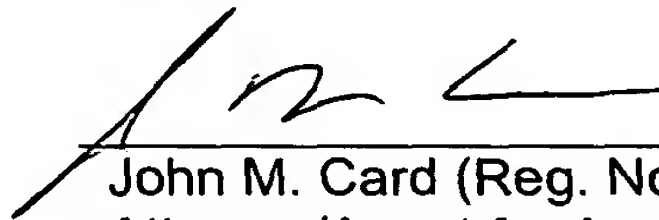
BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Commissioner is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

Aug. 19, 2003
Date



John M. Card (Reg. No. 48,423)
Attorney/Agent for Applicant

Enclosures: Form PTO-1449 (one sheet)

| | | |
|---|-----------------|------------------------|
| FORM PTO-1449 | APPLICATION NO. | CASE NO. 10541-1850 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | FILING DATE | ART UNIT |
| | Herewith | |
| APPLICANT(S): YU, Wen Fei | | |

| REFERENCE DESIGNATION | | U.S. PATENT DOCUMENTS | | | | |
|-----------------------|--|-----------------------|------------|------------------|--------------------|----------------|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS/ SUBCLASS | FILING DATE |
| | | 3,689,972 | 09/12/1972 | Mosier et al | | |
| | | 4,615,385 | 10/07/1986 | Saperstein et al | | |
| | | 5,067,235 | 11/26/1991 | Kato et al | | |
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| | | 5,931,223 | 08/03/1999 | Yu et al | | |
| | | 5,934,365 | 08/10/1999 | Rhodes et al | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
|--------------------------|--|--------------------|------|---------|--------------------|--------------------|----|
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES | NO |
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| EXAMINER INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | |
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| EXAMINER | DATE CONSIDERED |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.